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## A Characterization of the Finite Simple Groups $PSp_6(q)$ , $q$ Odd\*

W. J. WONG

*Department of Mathematics, University of Notre Dame,  
Notre Dame, Indiana 46556**Communicated by Walter Feit*

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If  $V$  is a vector space with a nondegenerate alternating bilinear form (more briefly, a symplectic vector space) of dimension 6 over a field  $F$  of characteristic different from 2, we can express  $V$  as an orthogonal direct sum of a 2-dimensional subspace  $W$  and a 4-dimensional subspace  $X$ , and consider the involution  $T$  of the symplectic group  $Sp(V) = Sp_6(F)$  which fixes the elements of  $X$  and multiplies the elements of  $W$  by  $-1$ . Then the centralizer of  $T$  in  $Sp_6(F)$  consists of all elements which leave both  $W$  and  $X$  invariant, and is the direct product of the subgroup  $L$  of elements fixing all the vectors of  $X$  with the subgroup  $J$  of elements fixing all the vectors of  $W$ . By their actions on  $W$  and  $X$ , we see that  $L$  and  $J$  are respectively isomorphic with  $Sp_2(F) = SL_2(F)$  and  $Sp_4(F)$ . If  $t$  is the involution of the projective symplectic group  $PSp_6(F)$  corresponding to  $T$ , then since  $T$  is not conjugate in  $Sp_6(F)$  to  $-T$ , the centralizer of  $t$  in  $PSp_6(F)$  is the subgroup corresponding to the centralizer of  $T$  in  $Sp_6(F)$  under the natural homomorphism of  $Sp_6(F)$  on  $PSp_6(F)$ . Since they do not contain  $-I$ ,  $L$  and  $J$  are mapped isomorphically by this homomorphism onto subgroups  $\bar{L}$ ,  $\bar{J}$  of  $PSp_6(F)$ , whose product is the centralizer of  $t$ , such that  $[\bar{L}, \bar{J}] = 1$ . The product is not direct, since the centers of  $L$  and  $J$ , which are generated by  $T$  and  $-T$  respectively, are mapped by the homomorphism on the same subgroup of  $PSp_6(F)$ . Thus the centralizer of  $t$  in  $PSp_6(F)$  is the central product of two groups isomorphic with  $SL_2(F)$  and  $Sp_4(F)$  respectively.

In this paper we prove that when  $F$  is finite then this property of  $PSp_6(F)$  characterizes it among all finite simple groups. More precisely, we prove the following result.

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**THEOREM.** *Let  $G$  be a finite group with an involution  $t$  whose centralizer in  $G$  is given by*

$$C(t) = LJ, \quad [L, J] = 1, \quad L \cap J = \langle t \rangle,$$

*where  $L \approx SL_2(q)$ ,  $J \approx Sp_4(q)$ , for some odd  $q$ . Then either*

- (i)  $G = C(t)O(G)$ , or
- (ii)  $G$  is isomorphic with  $PSp_6(q)$ .

Here  $O(G)$  denotes the largest normal subgroup of odd order in  $G$ . In particular,  $PSp_6(q)$  is the only simple group satisfying the hypothesis of the theorem.

Our method is similar to that used in our earlier characterization of  $PSp_4(q)$  [12]. In §1 we study the 2-structure of  $G$ , i.e. the involutions and 2-subgroups of  $G$ , beginning from knowledge of the 2-structure of  $C(t)$ . In particular, if case (i) of the conclusion of the theorem does not hold, then we prove that  $G$  has exactly two classes of involutions. We also determine the structure of the normalizer in  $G$  of a four-subgroup  $D$  whose involutions are all conjugate to  $t$ . Because of the existence of this subgroup  $D$ , we do not have to determine the structure of the centralizer in  $G$  of an involution which is not conjugate to  $t$ , in contrast to the situation in the characterization of  $PSp_4(q)$ . However, we do find the order of this centralizer, by using a result of Brauer.

In §2, we study the  $p$ -structure of  $G$ , where  $p$  is the prime divisor of  $q$ , beginning from knowledge of the  $p$ -structure of  $C(t)$ . In particular, we find the structure of the normalizer in  $G$  of a Sylow  $p$ -subgroup. We are then able, in §3, to construct a  $(BN)$ -pair [11], and so to construct a certain subgroup  $G_0$  of  $G$  whose multiplication table is uniquely determined. By using a lemma of Suzuki, we prove that  $G_0 = G$ , from which it follows that  $G$  is isomorphic with  $PSp_6(q)$ .

Our notation is largely standard. We use  $O(X)$  to denote the largest normal subgroup of odd order in the finite group  $X$ . The normalizer and centralizer of  $Y$  in  $X$  are denoted  $N_X(Y)$  and  $C_X(Y)$ ; we omit the subscript when  $X = G$ . We write  $[x, y] = x^{-1}y^{-1}xy = x^{-1}x^y$ . If  $x^y = z$ , we also write  $y : x \rightarrow z$ ;  $y : x \leftrightarrow z$  means that  $y : x \rightarrow z$  and  $y : z \rightarrow x$ . If  $y : x \rightarrow x^{-1}$ , we say that  $y$  inverts  $x$ . The field of  $q$  elements is denoted  $F_q$ . We shall take linear transformations on a vector space as acting on the right, so that if  $\theta$  is a linear transformation, its matrix with respect to a basis  $\{e_1, e_2, \dots\}$  is  $(a_{ij})$ , where  $e_i\theta = \sum_j a_{ij}e_j$ . A symplectic basis of a 4-dimensional symplectic vector space is a basis  $\{e_1, e_2, e_3, e_4\}$  such that  $(e_1, e_2) = (e_3, e_4) = 1$ ,  $(e_i, e_j) = 0$  if  $i = 1, 2$  and  $j = 3, 4$ . Finally,  $I$  will always denote the identity matrix of degree 2.

1. THE 2-STRUCTURE OF  $G$ 

We shall assume throughout the paper that  $G$  is a finite group satisfying the hypothesis of the theorem:

$G$  has an involution  $t_1$  such that

$$C(t_1) = L_1 J, \quad [L_1, J] = 1, \quad L_1 \cap J = \langle t_1 \rangle, \quad (1)$$

where  $L_1 \approx SL_2(q)$ ,  $J \approx Sp_4(q)$ , and  $q$  is odd.

Here we have placed a subscript on  $t$  and  $L$ , for reasons which will soon appear. We shall assume also that

$$G \neq C(t_1) O(G). \quad (2)$$

Our aim is to prove that  $G \approx PSp_6(q)$ .

We shall identify  $J$  with  $Sp_4(q)$ , and in turn identify the elements of  $Sp_4(q)$  with their matrices with respect to a symplectic basis  $\{e_1, e_2, e_3, e_4\}$  of the 4-dimensional symplectic vector space over  $F_q$  on which they act. Thus  $J$  consists of all  $4 \times 4$  matrices  $M$  over  $F_q$  such that  $MBM' = B$ , where  $M'$  is the transpose of  $M$  and

$$B = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}.$$

Since  $t_1 \in Z(J)$ , the matrix representation of  $t_1$  as an element of  $J$  is

$$t_1 = \begin{pmatrix} -I & 0 \\ 0 & -I \end{pmatrix}.$$

We now choose two other involutions of  $J$ ,

$$t_2 = \begin{pmatrix} -I & 0 \\ 0 & I \end{pmatrix}, \quad t_3 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix},$$

so that

$$t_1 t_2 t_3 = 1 \quad (3)$$

and we have a four-subgroup

$$D = \{1, t_1, t_2, t_3\} = \langle t_1, t_2 \rangle.$$

We identify  $SL_2(q)$  with the group of all  $2 \times 2$  matrices over  $F_q$  with determinant 1, and set

$$L_2 = \left\{ \begin{pmatrix} A & 0 \\ 0 & I \end{pmatrix} \mid A \in SL_2(q) \right\}, \quad L_3 = \left\{ \begin{pmatrix} I & 0 \\ 0 & A \end{pmatrix} \mid A \in SL_2(q) \right\}.$$

Then  $L_2$  and  $L_3$  are subgroups of  $J$ , and  $C_J(t_2)$  is their direct product, so that

$$C(D) = L_1 L_2 L_3. \quad (4)$$

Also, we have isomorphisms

$$\varphi_2 : SL_2(q) \rightarrow L_2, \quad \varphi_2(A) = \begin{pmatrix} A & 0 \\ 0 & I \end{pmatrix},$$

$$\varphi_3 : SL_2(q) \rightarrow L_3, \quad \varphi_3(A) = \begin{pmatrix} I & 0 \\ 0 & A \end{pmatrix}.$$

We also choose an isomorphism

$$\varphi_1 : SL_2(q) \rightarrow L_1.$$

Thus, for  $i = 1, 2, 3$ , we have

$$t_i = \varphi_i(-I).$$

We define integers  $\delta, n, e$  by the conditions

$$q - \delta = 2^n e, \quad n \geq 2, \quad \delta = \pm 1, \quad e \text{ odd},$$

so that, in particular,  $q \equiv \delta \pmod{4}$ . The order of  $C(t_1)$  is

$$|C(t_1)| = \frac{1}{2} |L| |J| = \frac{1}{2} q(q^2 - 1) q^4 (q^4 - 1) (q^2 - 1),$$

so that a Sylow 2-subgroup of  $C(t_1)$  has order  $2^{3n+3}$ . We now proceed to construct such a subgroup. We fix a generator  $\epsilon$  of the multiplicative group of  $F_q$ , and, for  $i = 1, 2, 3$ , set

$$d_i = \varphi_i \begin{pmatrix} \epsilon & 0 \\ 0 & \epsilon^{-1} \end{pmatrix} (\delta = 1), \quad \text{or} \quad d_i = \varphi_i \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix} (\delta = -1),$$

where in the second case  $\alpha$  and  $\beta$  are elements of  $F_q$  such that  $\alpha + \beta \sqrt{-1}$  is a generator of the group of elements of  $F_{q^2}$  whose norm in  $F_q$  is 1. Then  $d_i$  is an element of order  $q - \delta$  in  $L_i$ , generating a subgroup whose normalizer is  $\langle d_i, b_i \rangle$ , where

$$b_i = \varphi_i \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} (\delta = 1), \quad \text{or} \quad b_i = \varphi_i \begin{pmatrix} \lambda & \mu \\ \mu & -\lambda \end{pmatrix} (\delta = -1),$$

where in the second case  $\lambda$  and  $\mu$  are elements of  $F_q$  such that  $\lambda^2 + \mu^2 = -1$ . Then  $b_i$  inverts  $d_i$ , and  $b_i^2 = t_i$ . In fact,

$$N_{L_i}(\langle d_i^m \rangle) = \langle d_i, b_i \rangle, \quad \text{if} \quad d_i^m \neq 1, t_i. \quad (5)$$

If we put

$$a_i = d_i^e,$$

then  $Q_i = \langle a_i, b_i \rangle$  is a Sylow 2-subgroup of  $L_i$ , and is a generalized quaternion group of order  $2^{n+1}$ . Because of (3),

$$|Q_1 Q_2 Q_3| = \frac{1}{2} |Q_1| |Q_2| |Q_3| = 2^{3n+2}.$$

To obtain a Sylow 2-subgroup of  $C(t_1)$  we adjoin the element

$$u = \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}$$

of  $J$ , which has the properties

$$u^2 = t_1, \quad u : \varphi_1(x) \rightarrow \varphi_1(x), \quad \varphi_2(x) \leftrightarrow \varphi_3(x), \quad (6)$$

for all  $x$  in  $SL_2(q)$ . Then we see that a Sylow 2-subgroup  $S$  of  $C(t_1)$  is given by

$$S = \langle Q_1, Q_2, Q_3, u \rangle,$$

$$Q_i = \langle a_i, b_i \rangle, a_i^{2^{n-1}} = b_i^2 = (a_i b_i)^2 = t_i, t_i^2 = 1 \quad (i = 1, 2, 3),$$

$$[Q_1, Q_2] = [Q_1, Q_3] = [Q_2, Q_3] = 1, t_1 t_2 t_3 = 1,$$

$$u^2 = t_1, [Q_1, u] = 1, a_2^u = a_3, b_2^u = b_3.$$

Since  $Z(Q_i) = \langle t_i \rangle$ , and  $u$  interchanges  $t_2$  and  $t_3$ , we see that

$$Z(S) = \langle t_1 \rangle. \quad (7)$$

Since  $t_1$  is the only involution of  $L_1$ , and  $J$  has only two classes of involutions, represented by  $t_1$  and  $t_2$  ([4], p. 102), every involution of  $C(t_1)$  is conjugate in  $C(t_1)$  to  $t_1$  or  $t_2$ , or is of the form  $xy$ , where  $x \in L_1$ ,  $y \in J$ , and  $x^2 = y^2 = t_1$ . Since  $L_1$  and  $J$  each has only one class of elements whose square is  $t_1$  ([4], p. 106), all these involutions are conjugate in  $C(t_1)$ . One such involution is

$$v = f_1 f_2 f_3,$$

where, for  $i = 1, 2, 3$ ,

$$f_i = a_i^{2^{n-2}} = \varphi_i \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix} (\delta = 1), \quad \text{or} \quad \varphi_i \begin{pmatrix} 0 & \lambda \\ -\lambda & 0 \end{pmatrix} (\delta = -1),$$

where in the first case  $\lambda^2 = -1$  and in the second case  $\lambda = \pm 1$ . Thus,  $C(t_1)$  has 3 classes of involutions, represented by  $t_1$ ,  $t_2$  and  $v$ .

We remark that  $C_{L_1}(f_i) = \langle d_i \rangle$ , and that  $b_i$  transforms  $f_i$  into  $t_i f_i$ . Hence

$$C_{L_1}(v) = \langle d_1 \rangle, \quad v^{b_1} = t_1 v, \quad v^{b_2 b_3} = t_2 t_3 v = t_1 v.$$

Since the centralizer in  $C(t_1)$  of any element  $z$  consists of all elements  $xy$ , where  $x \in L_1$ ,  $y \in J$ , and either  $z^x = z^y = z$ , or  $z^x = z^y = t_1 z$ , we have

$$C(t_1, v) = \langle d_1, C_J(v), b_1 b_2 b_3 \rangle. \quad (8)$$

An easy computation shows that  $C_J(v) = C_J(f_2 f_3)$  consists of all elements of the form

$$\begin{pmatrix} \alpha & 0 & \beta & 0 \\ 0 & \alpha' & 0 & \beta' \\ \gamma & 0 & \mu & 0 \\ 0 & \gamma' & 0 & \mu' \end{pmatrix} (\delta = 1), \quad \text{or} \quad \begin{pmatrix} \alpha & \beta & \gamma & \mu \\ -\beta & \alpha & -\mu & \gamma \\ \rho & \sigma & \tau & \lambda \\ -\sigma & \rho & -\lambda & \tau \end{pmatrix} (\delta = -1),$$

where in the first case  $\begin{pmatrix} \alpha & \beta \\ \gamma & \mu \end{pmatrix}$  lies in  $GL_2(q)$  and  $\begin{pmatrix} \alpha' & \beta' \\ \gamma' & \mu' \end{pmatrix}$  is its contragredient (inverse transpose), and in the second case

$$\begin{pmatrix} \alpha + \beta \sqrt{-1} & \gamma + \mu \sqrt{-1} \\ \rho + \sigma \sqrt{-1} & \tau + \lambda \sqrt{-1} \end{pmatrix}$$

is an element of  $GU_2(q)$ , the group of all unitary matrices of degree 2 with coefficients in  $F_{q^2}$ . Hence,

$$C_J(v) \approx GL_2(q) (\delta = 1), \text{ or } GU_2(q) (\delta = -1),$$

and so

$$|C_J(v)| = q(q^2 - 1)(q - \delta).$$

In either case,  $\langle a_2, a_3, u \rangle$  is a Sylow 2-subgroup of  $C_J(v)$ .

The derived group  $C_J(v)'$  is the subgroup of  $C_J(v)$  corresponding to  $SL_2(q)$  or  $SU_2(q)$ , and has order  $q(q^2 - 1)$ . It contains the element  $[a_3, u] = a_2 a_3^{-1}$ , and also contains  $u$ , since  $u$  is the element of  $C_J(v)$  corresponding to the element  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  of  $GL_2(q)$  or  $GU_2(q)$ . Thus the generalized quaternion group  $\langle a_2 a_3^{-1}, u \rangle$  of order  $2^{n+1}$  is a Sylow 2-subgroup of  $C_J(v)'$ . Since  $d_2$  is an element of  $C_J(v)$  corresponding to an element of  $GL_2(q)$  or  $GU_2(q)$  whose determinant is a primitive  $(q - \delta)$ -th root of 1,  $C_J(v)$  is the semi-direct product of  $\langle d_2 \rangle$  and  $C_J(v)'$ .

**LEMMA 1.1.** *If  $X$  is a subgroup of  $G$ ,  $T$  is a Sylow 2-subgroup of  $X \cap C(t_1)$ , and  $\langle t_1 \rangle$  is a characteristic subgroup of  $T$ , then  $T$  is a Sylow 2-subgroup of  $X$ . In particular,  $S$  is a Sylow 2-subgroup of  $G$ .*

*Proof.* Let  $U$  be a Sylow 2-subgroup of  $X$  containing  $T$ . Then  $N_U(T)$  normalizes the characteristic subgroup  $\langle t_1 \rangle$  of  $T$ , so that  $N_U(T) \leq C(t_1)$ . Thus  $N_U(T) = T$ , so that  $U = T$ , by an elementary property of groups of prime power order. This proves the first statement. The second statement follows by setting  $X = G$ ,  $T = S$ , since  $\langle t_1 \rangle$  is characteristic in  $S$ , by (7).

**LEMMA 1.2.**  *$G$  has exactly two conjugacy classes of involutions,  $K_1$  and  $K_2$ , where  $K_1 \cap C(t_1)$  consists of the classes in  $C(t_1)$  represented by  $t_1$  and  $t_2$ , and  $K_2 \cap C(t_1)$  is the class in  $C(t_1)$  represented by  $v$ .*

*Proof.* From (8), a Sylow 2-subgroup of  $C(t_1, v)$  is the group

$$T = \langle a_1, a_2, a_3, u, b_1 b_2 b_3 \rangle,$$

or order  $2^{3n+1}$ . We have the commutator relations

$$[a_1, a_2] = [a_1, a_3] = [a_2, a_3] = [a_1, u] = [u, b_1 b_2 b_3] = 1,$$

$$[u, a_2] = [a_3, u] = a_2 a_3^{-1}, [b_1 b_2 b_3, a_i] = a_i^2 \quad (i = 1, 2, 3).$$

It follows that

$$T' = \langle a_1^2, a_2^2, a_3^2, a_2 a_3^{-1} \rangle,$$

an Abelian group. The set of all  $2^{n-1}$ -th powers of elements of  $T'$  is the subgroup  $\langle t_1 \rangle$ , so that  $\langle t_1 \rangle$  is characteristic in  $T$ . By Lemma 1.1,  $T$  is a Sylow 2-subgroup of  $C(v)$ . Since  $T$  is not isomorphic with the Sylow 2-subgroup  $S$  of  $C(t_1)$ ,  $v$  is not conjugate to  $t_1$  in  $G$ .

By a theorem of Glauberman ([6], Th. 1), the condition (2) is equivalent with saying that  $t_1$  is conjugate in  $G$  to some involution of  $S$  distinct from  $t_1$ . Since such an involution is conjugate in  $C(t_1)$  to  $v$  or  $t_2$ ,  $t_1$  must be conjugate to  $t_2$  in  $G$ . This proves the lemma.

The next result is fundamental for the rest of the paper.

LEMMA 1.3. *Let  $D = \langle t_1, t_2 \rangle$ . Then*

$$N(D) = \langle L_1 L_2 L_3, s, u \rangle,$$

where  $s^2 = t_3$ ,  $(su)^3 = 1$ ,  $L_1^s = L_2$ , and  $[s, L_3] = 1$ .

*Proof.* By Lemma 1.2, all involutions of  $C(t_1)$  distinct from  $t_1$  which lie in  $K_1$  are conjugate in  $C(t_1)$ . Since  $t_2$  is conjugate in  $G$  to  $t_1$ , all involutions of  $C(t_2)$  distinct from  $t_2$  which lie in  $K_1$  are conjugate in  $C(t_2)$ . Thus there exists an element  $y$  such that

$$y \in C(t_2), \quad y : t_1 \rightarrow t_3.$$

Then  $y : t_3 = t_1 t_2 \rightarrow t_3 t_2 = t_1$ , so that  $y \in N(D)$ . We set

$$s = u''.$$

Since  $u^2 = t_1$ ,  $s^2 = t_1^y = t_3$ . Since  $u$  interchanges  $t_2$  and  $t_3$ ,  $s$  interchanges  $t_2^y = t_2$  and  $t_3^y = t_1$ . We see that  $N(D)$  induces the full automorphism group on  $D$ , so that

$$N(D)/C(D) \approx \Sigma_3,$$

the symmetric group of degree 3. Also,

$$N(D) = \langle C(D), s, u \rangle = \langle L_1 L_2 L_3, s, u \rangle,$$

by (4). Now,  $y$  normalizes  $C(D) = L_1 L_2 L_3$  and so acts on the quotient group

$$C(D)/D = L_1 D/D \times L_2 D/D \times L_3 D/D.$$

The group  $L_i D/D \approx L_i/L_i \cap D = L_i/\langle t_i \rangle \approx PSL_2(q)$  is an indecomposable group with trivial center. By the Krull-Schmidt Theorem,  $y$  permutes the subgroups  $L_1 D, L_2 D, L_3 D$  among themselves. Since  $L_i$  is the unique subgroup of index 2 in  $L_i D$ ,  $y$  permutes the subgroups  $L_1, L_2, L_3$  among themselves. Since  $t_1, t_2, t_3$  are the unique involutions in  $L_1, L_2, L_3$  respectively, we must have

$$L_1^y = L_3, \quad L_2^y = L_2, \quad L_3^y = L_1.$$

Now,  $L_1^s = (L_3^u)^y = L_2^y = L_2, [s, L_3] = [u, L_1]^y = 1$ .

Suppose that  $x \in L_2$ . Since  $x^s \in L_1, x^u \in L_3$ , and  $s^2$  and  $u^2$  centralize  $L_1 L_2 L_3$ ,

$$x^{su su su} = x^{s su su} = x^{u su} = x^{u u} = x.$$

Thus,  $[L_2, (su)^3] = 1$ . Since  $L_1 = L_2^{su}, L_3 = L_1^{su}$ , and  $su$  centralizes  $(su)^3$ , we also have  $[L_1, (su)^3] = [L_3, (su)^3] = 1$ , so that

$$(su)^3 \in C(C(D)) = Z(C(D)) = D.$$

Since  $su$  permutes the involutions  $t_1, t_2, t_3$  of  $D$  cyclically, we must have  $(su)^3 = 1$ . This proves the lemma.

We may assume that the isomorphism  $\varphi_1$  of  $SL_2(q)$  with  $L_1$  is so chosen that  $\varphi_1(x)^s = \varphi_2(x)$ , for all  $x$  in  $SL_2(q)$ . Thus the properties of  $s$  analogous to (6) are

$$s^2 = t_3, \quad s : \varphi_1(x) \leftrightarrow \varphi_2(x), \quad \varphi_3(x) \rightarrow \varphi_3(x), \quad (9)$$

for all  $x$  in  $SL_2(q)$ , so that

$$su : \varphi_1(x) \rightarrow \varphi_3(x) \rightarrow \varphi_2(x) \rightarrow \varphi_1(x), \text{ all } x \text{ in } SL_2(q).$$

Now,

$$(usu)^{-1} = u^{-1}s^{-1}u^{-1} = ut_1t_3st_1u = ut_1t_3t_2su = usu,$$

by (3). Since  $sususu = 1$ , we see that

$$sus = usu. \quad (10)$$

We shall find the order of the centralizers of involutions in the class  $K_2$ . This information will be required only at the end of the proof of the theorem.



LEMMA 1.4.  $C(v)$  has a subgroup  $K$  of index 2, with Sylow 2-subgroup

$$Y = \langle a_1, a_2, a_3, u \rangle,$$

and  $C_K(t_1) = \langle d_1, C_J(v) \rangle$ .

*Proof.* By the proof of Lemma 1.2,  $C(v)$  has as Sylow 2-subgroup the group

$$T = \langle a_1, a_2, a_3, u, b_1 b_2 b_3 \rangle.$$

Let  $T^*$  be the focal group of  $T$  in  $C(v)$ , i.e.

$$T^* = T \cap C(v)'$$

Clearly  $T^*$  contains

$$T' = \langle a_1^2, a_2^2, a_3 a_3 \rangle.$$

We have previously seen that  $u \in C_J(v)'$ , so that  $T^*$  contains the subgroup

$$W = \langle a_1^2, a_2^2, a_3 a_3, u \rangle.$$

The quotient group  $T/W$  is elementary Abelian of order 8,

$$T/W = \langle a_1 W, a_2 W, b_1 b_2 b_3 W \rangle.$$

The group

$$Y = \langle a_1, a_2, a_3, u \rangle$$

is a maximal subgroup of  $T$ , containing  $W$ . The involutions of  $Y$  have the form  $xy$ , where  $x \in \langle a_1 \rangle$ ,  $y \in \langle a_2, a_3, u \rangle$ , and either  $x^2 = y^2 = 1$  or  $x^2 = y^2 = t_1$ . If  $x^2 = y^2 = 1$ , then  $xy \in K_1$ , and if  $x^2 = y^2 = t_1$ , then  $xy \in K_2$ . Since  $f_1$  commutes with  $x$  and  $f_2 f_3$  with  $y$ ,  $(x f_1)^2 = x^2 f_1^2 = x^2 t_1$  and  $(y f_2 f_3)^2 = y^2 f_2^2 f_3^2 = y^2 t_2 t_3 = y^2 t_1$ . Thus, if  $z$  is an involution of  $Y$  lying in  $K_2$ , then  $zv = 1$  or  $zv \in K_1$ . The involutions  $b_1 b_2 b_3$ ,  $b_1 b_2 b_3 a_1$ ,  $b_1 b_2 b_3 a_2$ ,  $b_1 b_2 b_3 a_1 a_2$  lie in  $K_2$ . If one of them were conjugate in  $C(v)$  to an involution  $z$  of  $Y$ , then  $b_1 b_2 b_3 v$ ,  $b_1 b_2 b_3 a_1 v$ ,  $b_1 b_2 b_3 a_2 v$  or  $b_1 b_2 b_3 a_1 a_2 v$  would be conjugate to  $zv$ , an element of  $K_1$ . This is impossible, since  $b_1 b_2 b_3 v$ ,  $b_1 b_2 b_3 a_1 v$ ,  $b_1 b_2 b_3 a_2 v$  and  $b_1 b_2 b_3 a_1 a_2 v$  lie in  $K_2$ .

We now apply a lemma of Thompson ([10], Lemma 5.38), which may be stated as follows:

LEMMA. *If  $Y$  is a maximal subgroup of a Sylow 2-subgroup of a finite group  $H$ , then every involution of  $H'$  is conjugate in  $H$  to an element of  $Y$ .*

In our case it follows that  $T^*$  does not contain  $b_1 b_2 b_3$ ,  $b_1 b_2 b_3 a_1$ ,  $b_1 b_2 b_3 a_2$  or  $b_1 b_2 b_3 a_1 a_2$ . Since these four elements represent the cosets of  $W$  in  $T$  which do not lie in  $Y$ , it follows that  $T^* \leq Y$ , and hence that  $C(v)$  has a subgroup  $K$  of index 2 with  $Y$  as Sylow 2-subgroup.

Since  $\langle d_1, C_J(v) \rangle$  is the unique subgroup of index 2 in

$$C(t_1, v) = \langle d_1, C_J(v), b_1 b_2 b_3 \rangle$$

having  $Y$  as Sylow 2-subgroup, we must have

$$C_K(t_1) = \langle d_1, C_J(v) \rangle.$$

This proves the lemma.

LEMMA 1.5.  $K$  has a normal subgroup  $R$  of index  $2^{n-1}$ , with

$$X = \langle a_1 a_2^{-1}, a_2 a_3^{-1}, u \rangle$$

as Sylow 2-subgroup, and

$$C_R(t_1) = Z \times M,$$

where  $Z$  is cyclic of order  $e = (q - \delta)/2^n$ , and  $M$  is isomorphic with  $GL_2(q)$  or  $GU_2(q)$  according as  $\delta = 1$  or  $\delta = -1$ . Also,  $R$  has no subgroup of index 2.

*Proof.* The focal group  $Y^*$  of  $Y$  in  $K$  contains

$$Y' = \langle a_2 a_3^{-1} \rangle.$$

Since  $u \in C_J(v)' \leq K'$ ,  $Y^*$  contains  $u$  also. Since  $(a_2 a_3^{-1})^{2^{n-1}} = t_2 t_3 = t_1, \langle t_1 \rangle$  is characteristic in  $Y$ , so that  $N_K(Y) \leq C_K(t_1) = \langle d_1, C_J(v) \rangle$ . Hence,

$$N_K(Y)' \cap Y \leq C_J(v)' \cap Y = \langle a_2 a_3^{-1}, u \rangle.$$

By Grün's theorem,  $Y^*$  is generated by  $\langle a_2 a_3^{-1}, u \rangle$  and the elements of  $Y$  which are conjugate in  $K$  to elements of  $\langle a_2 a_3^{-1} \rangle$ .

The subgroup

$$A = \langle a_1, a_2, a_3 \rangle$$

is an Abelian maximal subgroup of  $Y$ . If  $B$  were another Abelian maximal subgroup of  $Y$ , then  $Z(Y)$  would contain the subgroup  $A \cap B$  of index 2 in  $A$ . However,  $Z(Y) = \langle a_1, a_2 a_3 \rangle$  has index  $2^n$  in  $A$ . Thus  $A$  is the only Abelian maximal subgroup of  $Y$ . If  $z_1, z_2$  are elements of  $A$  which are conjugate in  $K$ , say

$$z_1 = z_2^x, \quad x \in K,$$

then  $A$  and  $A^x$  are subgroups of  $C_K(z_1)$ . If  $T_1$  and  $T_2$  are Sylow 2-subgroups of  $C_K(z_1)$  containing  $A$  and  $A^x$  respectively, then by Sylow's theorem there is an element  $y$  of  $C_K(z_1)$  such that  $T_1 = T_2^y$ . Since  $A^{xy}$  is contained in  $T_1$  but  $A$  is the only Abelian subgroup of order  $|A|$  in  $T_1$ , we have  $A^{xy} = A$ , i.e.  $xy \in N_K(A)$ . Also,  $z_1 = z_1^y = z_2^{xy}$ . Thus two elements of  $A$  which are conjugate in  $K$  are already conjugate in  $N_K(A)$ .

The involutions of  $A$  lying in the class  $K_1$  are  $t_1, t_2, t_3$  and generate the four-group  $D$ . Thus  $N(A) \leq N(D)$ . By Lemma 1.3 and (5),

$$N(A) = \langle d_1, d_2, d_3, b_1, b_2, b_3, s, u \rangle,$$

so that

$$N(A) \cap C(v) = \langle d_1, d_2, d_3, b_1 b_2 b_3, s, u \rangle.$$

Since  $N(A) \cap C(v) = N_K(A) \langle b_1 b_2 b_3 \rangle$  and  $b_1 b_2 b_3$  inverts  $a_2 a_3^{-1}$ , an element of  $A$  is conjugate in  $N_K(A)$  to an element of  $\langle a_2 a_3^{-1} \rangle$  if and only if it is conjugate in  $N(A) \cap C(v)$  to an element of  $\langle a_2 a_3^{-1} \rangle$ . Since the conjugacy class of  $a_2 a_3^{-1}$  in  $N(A) \cap C(v)$  consists of all  $a_i a_j^{-1}$  where  $i, j = 1, 2, 3$ , and  $i \neq j$ , the elements of  $A$  conjugate in  $K$  to an element of  $\langle a_2 a_3^{-1} \rangle$  generate the subgroup  $\langle a_1 a_2^{-1}, a_2 a_3^{-1} \rangle$ . Thus  $Y^*$  is generated by the subgroup

$$X = \langle a_1 a_2^{-1}, a_2 a_3^{-1}, u \rangle$$

of index  $2^{n-1}$  in  $Y$ , together with the elements of  $Y - A$  which are conjugate in  $K$  to an element of  $\langle a_2 a_3^{-1} \rangle$ .

Let  $z$  be such an element of  $Y - A$ . We have

$$z = a_1^i a_2^j a_3^k u,$$

for some  $i, j, k$ , so that  $z^2 = a_1^{2i} (a_2 a_3)^{j+k} t_1$ . If  $z$  is not an involution, then  $t_1$  is the unique involution in both  $\langle a_2 a_3^{-1} \rangle$  and  $\langle z \rangle$ , so that  $z$  must be conjugate in  $C_K(t_1)$  to an element of  $\langle a_2 a_3^{-1} \rangle$ . Since  $a_2 a_3^{-1} \in C_J(v)' = C_K(t_1)'$ , we see that

$$z \in C_J(v)' \cap Y = \langle a_2 a_3^{-1}, u \rangle \leq X.$$

If  $z$  is an involution, then  $z$  must be conjugate in  $K$  to the involution  $t_1$  of  $\langle a_2 a_3^{-1} \rangle$ , so that  $z$  belongs to the class  $K_1$ . This implies that  $a_1^{2i} = (a_2 a_3)^{j+k} t_1 = 1$ , so that  $i \equiv 0 \pmod{2^{n-1}}$  and  $j + k \equiv 2^{n-1} \pmod{2^n}$ . Thus,  $a_3^{i+j+k} = 1$  or  $t_3$ . Since  $t_3 = (a_1 a_2^{-1})^{2^{n-1}} \in X$ , and

$$z = (a_1 a_2^{-1})^i (a_2 a_3^{-1})^{i+j} a_3^{i+j+k} u,$$

we see that  $z \in X$ . Thus,  $Y^* = X$ , and  $K$  has a normal subgroup  $R$  of index  $2^{n-1}$ , with  $X$  as Sylow 2-subgroup.

Since  $C_J(v)$  is the semi-direct product

$$C_J(v) = \langle d_2 \rangle C_J(v)',$$

$\langle d_1 \rangle \approx \langle d_2 \rangle$ , and  $d_1$  centralizes  $C_J(v)'$ , we see that

$$M = \langle d_1 d_2^{-1} \rangle C_J(v)'$$

is a subgroup isomorphic to  $C_J(v)$ , i.e. to  $GL_2(q)$  or  $GU_2(q)$  according as  $\delta = 1$  or  $\delta = -1$ . If  $Z$  is the subgroup of order  $e$  in  $\langle d_1 \rangle$ , then  $Z \times M$  is the unique normal subgroup of index  $2^{n-1}$  in  $C_R(t_1)$  having  $X$  as Sylow 2-subgroup, and so we must have

$$C_R(t_1) = Z \times M.$$

Since  $R$  contains all elements of  $C(v)$  of odd order,  $su$  lies in  $R$ . We know that  $u$  and  $a_2a_3^{-1}$  lie in  $C_J(v)' = M'$  and so in  $R'$ . Hence  $a_1a_2^{-1} = (a_2a_3^{-1})^{su}$  also lies in  $R'$ . Thus  $X \leq R'$ , and so  $R$  has no subgroup of index 2. This proves the lemma.

LEMMA 1.6.  $|C(v)| = q^3(q^3 - \delta)(q^2 - 1)(q - \delta)$ .

*Proof.* If we set  $\sigma_1 = a_1a_2^{-1}$ ,  $\sigma_2 = a_1a_3^{-1}$ ,  $\tau = ut_2$ , then we see that the Sylow 2-subgroup  $X$  of  $R$  is generated by  $\sigma_1$ ,  $\sigma_2$  and  $\tau$ , and that

$$\sigma_1^{2^n} = \sigma_2^{2^n} = \tau^2 = 1, \quad [\sigma_1, \sigma_2] = 1, \quad \sigma_1^\tau = \sigma_2,$$

so that  $X$  is the wreath product of a cyclic group of order  $2^n$  with a group of order 2. We set  $\beta = \sigma_1\sigma_2^{-1}$ . We can choose a matrix representation of  $M$  as  $GL_2(q)$  or  $GU_2(q)$  so that  $\tau$  is represented by  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and  $\beta$  by  $\begin{pmatrix} \gamma & 0 \\ 0 & \gamma^{-1} \end{pmatrix}$ , where  $\gamma$  is a primitive  $2^n$ th root of 1, since  $\beta = [\tau, \sigma_1]$  is an element of order  $2^n$  lying in  $M'$ , which is the subgroup of  $M$  corresponding to  $SL_2(q)$  or  $SU_2(q)$ , and since  $\tau$  is an involution inverting  $\beta$ . Now we can easily compute that  $|C_M(\beta)| = |C_M(\tau)| = (q - \delta)^2$ ,  $|C_M(\beta, \tau)| = q - \delta$ , so that

$$|C_R(t_1)| = eq(q^2 - 1)(q - \delta), \quad |C_R(\beta)| = |C_R(t_1, \tau)| = e(q - \delta)^2, \\ |C_R(\beta, \tau)| = e(q - \delta).$$

We can now apply a result of Brauer ([I], Th. 2) to conclude that

$$|R| = q^3(q^3 - \delta)(q^2 - 1)e.$$

(The integer denoted  $f$  in [I] is a root of the quadratic equation

$$|C_R(\beta)| |C_R(t_1, \tau)|^2 f(f + 1) = |C_R(t_1)| |C_R(\beta, \tau)|^2 (f - 1)^2,$$

which in this case has  $f = \delta q$  as its only integral solution. Then the numbers denoted  $a, c, t, \epsilon$  in [I] are easily calculated to be 1,  $e(q - \delta)$ , 1,  $\delta$  respectively.) Since  $R$  has index  $2^n$  in  $C(v)$ , and  $2^ne = q - \delta$ , we see that

$$|C(v)| = q^3(q^3 - \delta)(q^2 - 1)(q - \delta),$$

as asserted.

We remark that if  $\delta = 1$ , then Brauer's characterization of  $PGL_3(q)$  [I] can be used to determine the structure of  $C(v)$  completely. However, we shall not need this information.

2. THE  $p$ -STRUCTURE OF  $G$ 

We shall determine the structure of the normalizer of a Sylow  $p$ -subgroup of  $G$ , where  $p$  is the characteristic of the field  $F_q$ .

For  $\alpha$  in  $F_q$ , we set

$$x_i(\alpha) = \varphi_i \begin{pmatrix} 1 & 0 \\ \alpha & 1 \end{pmatrix}, \quad (i = 1, 2, 3).$$

We shall also write

$$x_4(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -\alpha \\ \alpha & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad x_5(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & \alpha & 0 \\ 0 & 0 & 1 & 0 \\ \alpha & 0 & 0 & 1 \end{pmatrix},$$

elements of  $J$ . Then, for  $1 \leq i \leq 5$ ,

$$P_i = \{x_i(\alpha) \mid \alpha \in F_q\}$$

is a subgroup of  $C(t_1)$ , and the mapping

$$\alpha \rightarrow x_i(\alpha) \quad (\alpha \in F_q) \quad (11)$$

is an isomorphism of the additive group of  $F_q$  with  $P_i$ , so that  $P_i$  is elementary Abelian of order  $q$ .  $P_1$ ,  $P_2$  and  $P_3$  are Sylow  $p$ -subgroups of  $L_1$ ,  $L_2$  and  $L_3$  respectively. We shall later define further subgroups  $P_6$ ,  $P_7$ ,  $P_8$ ,  $P_9$ , each isomorphic with the additive group of  $F_q$ , and we shall denote by  $P_{ijk} \dots$  the complex  $P_i P_j P_k \dots$ . Then  $P_{2345}$  is a Sylow  $p$ -subgroup of  $J$ , whose structure is determined by the fact that the mappings (11) are isomorphisms, together with the relations

$$\left. \begin{aligned} [x_2(\alpha), x_j(\beta)] &= [x_3(\alpha), x_5(\beta)] = 1 & (j = 3, 4, 5), \\ [x_3(\alpha), x_4(\beta)] &= x_2(\alpha\beta^2) x_5(\alpha\beta), \\ [x_4(\alpha), x_5(\beta)] &= x_2(-2\alpha\beta), \end{aligned} \right\} \quad (12)$$

for all  $\alpha, \beta$  in  $F_q$ . We also have

$$[x_1(\alpha), x_j(\beta)] = 1, \quad (j = 2, 3, 4, 5), \quad (13)$$

and the subgroup  $P_{12345}$  is a Sylow  $p$ -subgroup of  $C(t_1)$ , of order  $q^5$ .

We shall need the elements

$$c_i = \varphi_i \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad (i = 1, 2, 3).$$

Since  $c_1$  transforms  $x_1(\alpha)$  into  $\varphi_1 \begin{pmatrix} 1 & \\ 0 & -1 \end{pmatrix}$ , we see that

$$P_1 \cap P_1^{c_1} = 1. \quad (14)$$

Using the symplectic basis  $\{e_1, e_2, e_3, e_4\}$  of the symplectic space  $E$  on which  $J = Sp_4(q)$  acts, we make the convention that  $E_{ijk} \dots$  is the subspace of  $E$  generated by  $\{e_i, e_j, e_k, \dots\}$ . Then the elements of  $P_{2345}$  leave  $E_1$  and  $E_{13}$  invariant and induce the identity transformation on  $E_1$  and  $E_{13}/E_1$ . Since  $c_2c_3$  transforms  $E_1$  into  $E_2$  and  $E_{13}$  into  $E_{24}$ , the elements of  $(P_{2345})^{c_2c_3}$  leave  $E_2$  and  $E_{24}$  invariant and induce the identity transformation on  $E_2$  and  $E_{24}/E_2$ . Thus an element  $x$  of  $P_{2345} \cap (P_{2345})^{c_2c_3}$  maps

$$e_1 \rightarrow e_1, \quad e_2 \rightarrow e_2, \quad e_3 \rightarrow e_3 + \beta e_1, \quad e_4 \rightarrow e_4 + \gamma e_2,$$

for some  $\beta, \gamma$  in  $F_q$ . Since  $x$  is symplectic,  $\beta = \gamma = 0$ . Thus,

$$P_{2345} \cap (P_{2345})^{c_2c_3} = 1. \quad (15)$$

We compute that  $u$  normalizes  $P_{1235}$  and that  $c_3$  normalizes  $P_{1245}$ . In fact, we have the relations

$$u : x_1(\alpha) \rightarrow x_1(\alpha), \quad x_2(\alpha) \leftrightarrow x_3(\alpha), \quad x_5(\alpha) \rightarrow x_5(-\alpha), \quad (16)$$

$$\begin{aligned} c_3 : x_1(\alpha) &\rightarrow x_1(\alpha), & x_2(\alpha) &\rightarrow x_2(\alpha), \\ x_4(\alpha) &\rightarrow x_5(-\alpha), & x_5(\alpha) &\rightarrow x_4(\alpha). \end{aligned} \quad (17)$$

The subgroup of the elements of  $J$  fixing the vectors of  $E_{13}$  is  $P_{235}$ . Hence  $N_J(P_{235})$  is the set of all elements of  $J$  leaving  $E_{13}$  invariant. If  $\begin{pmatrix} \alpha & \beta \\ \gamma & \mu \end{pmatrix}$  is an element of  $GL_2(q)$ , we set

$$\varphi_4 \begin{pmatrix} \alpha & \beta \\ \gamma & \mu \end{pmatrix} = \begin{pmatrix} \alpha & 0 & \beta & 0 \\ 0 & \alpha' & 0 & \beta' \\ \gamma & 0 & \mu & 0 \\ 0 & \gamma' & 0 & \mu' \end{pmatrix},$$

where  $\begin{pmatrix} \alpha' & \beta' \\ \gamma' & \mu' \end{pmatrix}$  is the contragredient of  $\begin{pmatrix} \alpha & \beta \\ \gamma & \mu \end{pmatrix}$ . Then this is an element of  $J$ , and  $\varphi_4$  is an isomorphism of  $GL_2(q)$  with a subgroup  $L_4$  of  $J$ . Since  $L_4$  leaves the subspace  $E_{13}$  invariant and induces the full group of nonsingular linear transformations on it, we have

$$N_J(P_{235}) = P_{235}L_4. \quad (18)$$

Since  $x_4(\alpha) = \varphi_4 \begin{pmatrix} 1 & 0 \\ \alpha & 1 \end{pmatrix}$ , we see that  $P_4$  is a Sylow  $p$ -subgroup of  $L_4$ . We also remark that  $c_2c_3$  normalizes  $L_4$ .

An easy computation shows that  $C_J(P_2)$  consists of all elements of  $J$  of the form

$$\begin{pmatrix} \lambda & 0 & 0 & 0 \\ \alpha & \lambda & \beta & \gamma \\ \rho & 0 & \mu & \tau \\ \sigma & 0 & \xi & \eta \end{pmatrix}.$$

For this to be a symplectic matrix, we must have  $\lambda^2 = 1$ ,  $\lambda\rho + \mu\gamma = \beta\tau$ ,  $\lambda\sigma + \gamma\xi = \beta\eta$ ,  $\det \begin{pmatrix} \mu & \tau \\ \xi & \eta \end{pmatrix} = 1$ . Then this element is equal to

$$\varphi_3 \begin{pmatrix} \mu & \tau \\ \xi & \eta \end{pmatrix} x_2(\alpha + \beta\gamma) x_5(\beta) x_4(-\gamma), \quad \text{if } \lambda = 1,$$

$$t_1 \varphi_3 \begin{pmatrix} -\mu & -\tau \\ -\xi & -\eta \end{pmatrix} x_2(-\alpha + \beta\gamma) x_5(-\beta) x_4(\gamma), \quad \text{if } \lambda = -1.$$

Hence

$$C_J(P_2) = \langle t_1 \rangle L_3 P_{254}. \quad (19)$$

Now,  $P_{254}$  may be described as the group of all elements of  $J$  leaving  $E_1$  and  $E_{134}$  invariant and inducing the identity transformation on  $E_1$  and  $E_{134}/E_1$ . Since  $C_J(P_2)$  leaves both  $E_1$  and  $E_{134}$  invariant,  $P_{254}$  is a normal subgroup of  $C_J(P_2)$ , so that the product (19) of  $\langle t_1 \rangle L_3$  with  $P_{254}$  is semidirect. It follows that

$$C_J(P_{23}) = \langle t_1 \rangle C_{L_3}(P_3) C_{P_{254}}(P_3) = DP_{235}, \quad (20)$$

by the relations (12), where  $D$  is the four-group  $\langle t_1, t_3 \rangle$ .

Since  $t_3$  does not centralize  $P_5$ , we have

$$C_J(P_{235}) = P_{235} \langle t_1 \rangle. \quad (21)$$

For each nonzero element  $\lambda$  of  $F_q$ , we set

$$h_i(\lambda) = \varphi_i \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}, \quad (i = 1, 2, 3).$$

Then, for  $i = 1, 2, 3$ ,

$$H_i = \{h_i(\lambda) \mid 0 \neq \lambda \in F_q\}$$

is a subgroup of  $L_i$ , and the mapping

$$\lambda \rightarrow h_i(\lambda) \quad (0 \neq \lambda \in F_q)$$

is an isomorphism of the multiplicative group of  $F_q$  with  $H_i$ , so that  $H_i$  is cyclic of order  $q - 1$ . The involution  $t_i$  belongs to  $H_i$ , since

$$h_i(-1) = t_i \quad (i = 1, 2, 3).$$

Since  $t_1 t_2 t_3 = 1$  and  $[H_1, H_2] = [H_1, H_3] = [H_2, H_3] = 1$ ,

$$H = H_1 H_2 H_3$$

is Abelian of order  $\frac{1}{2}(q-1)^3$ .  $H$  normalizes each  $P_i$ ,  $1 \leq i \leq 5$ . In fact we compute that the action of  $H$  on  $P_{12345}$  is given by Table I, in which the entry in the row labelled  $h_i(\lambda)$  and the column labelled  $x_j(\alpha)$  is the transform of  $x_j(\alpha)$  by  $h_i(\lambda)$ .

TABLE I

	$x_1(\alpha)$	$x_2(\alpha)$	$x_3(\alpha)$	$x_4(\alpha)$	$x_5(\alpha)$
$h_1(\lambda)$	$x_1(\lambda^3\alpha)$	$x_2(\alpha)$	$x_3(\alpha)$	$x_4(\alpha)$	$x_5(\alpha)$
$h_2(\lambda)$	$x_1(\alpha)$	$x_2(\lambda^2\alpha)$	$x_3(\alpha)$	$x_4(\lambda\alpha)$	$x_5(\lambda\alpha)$
$h_3(\lambda)$	$x_1(\alpha)$	$x_2(\alpha)$	$x_3(\lambda^2\alpha)$	$x_4(\lambda^{-1}\alpha)$	$x_5(\lambda\alpha)$

We compute easily that

$$C_{L_1}(P_1) = P_1 \langle t_1 \rangle, \quad N_{L_1}(P_1) = P_1 H_1. \quad (22)$$

LEMMA 2.1.  $C(P_{123})$  has a normal 2-complement  $P_{123567}$  of order  $q^6$ , where  $P_6 = P_5^s$ ,  $P_7 = P_5^u$ .

*Proof.* By (22) and (20),

$$C(P_{123}) \cap C(t_1) = P_{1235} D, \quad (23)$$

where  $D$  is the four-group  $\langle t_1, t_2 \rangle$ . If  $t_2 = t_1^x$  for some  $x$  in  $C(P_{123})$ , then  $t_1 = t_2^s = t_1^{xs}$ , so that  $xs \in C(t_1)$ . Also,  $P_1^{xs} = P_1^s = P_2$ . This is impossible, since  $P_1 \leq L_1 \triangleleft C(t_1)$ , and  $P_2 \not\leq L_1$ . Hence  $t_1$  and  $t_2$  are not conjugate in  $C(P_{123})$ . The same argument with  $us$  in place of  $s$  shows that  $t_1$  and  $t_3$  are not conjugate in  $C(P_{123})$ . Now the argument of Lemma 1.1 shows that  $D$  is a Sylow 2-subgroup of  $C(P_{123})$ . By Burnside's theorem,  $C(P_{123})$  has a normal 2-complement  $K$ . We have

$$C_K(t_1) = P_{1235},$$

by (23). Since  $s$  and  $u$  normalize  $P_{123}$ , by (9) and (6), they normalize  $K$ . Also,  $t_1^s = t_2$  and  $t_1^{su} = t_3$ , so that

$$\begin{aligned} C_K(t_2) &= C_K(t_1)^s = P_{1236}, \\ C_K(t_3) &= C_K(t_1)^{su} = P_{1237}, \end{aligned}$$

where  $P_6 = P_5^s$ ,  $P_7 = P_5^{su}$ . Since  $C_{P_6}(t_2) = 1$ ,

$$C_K(D) = P_{123}.$$



We now apply a result of Brauer and Gorenstein and Walter ([7], p. 555), which we shall call

*Brauer's Formula.* If  $t_1, t_2, t_3$  are the three involutions of a four-group  $D$  acting on a group  $K$  of odd order, then

$$|K| |C_K(D)|^2 = |C_K(t_1)| |C_K(t_2)| |C_K(t_3)|,$$

$$K = C_K(t_1) C_K(t_2) C_K(t_3).$$

We conclude that  $|K| = q^6$  and that  $K = P_{1235} P_{1236} P_{1237} = P_{123567}$ . This proves the lemma.

We now set

$$x_6(\alpha) = x_5(\alpha)^s, \quad x_7(\alpha) = x_5(\alpha)^{su}, \quad (\alpha \in F_q), \quad (24)$$

so that the mappings  $\alpha \rightarrow x_6(\alpha)$ ,  $\alpha \rightarrow x_7(\alpha)$  are isomorphisms of the additive group of  $F_q$  with  $P_6$  and  $P_7$  respectively. Obviously  $P_7 = P_6^u$ . Since  $su^2 = st_1 = t_2s$  and  $t_2$  normalizes  $P_5$ ,  $P_6 = P_7^u$ .

The structure of  $P_{123567}$  is determined by the following result.

**LEMMA 2.2.** *The subgroup  $P_{123567}$  is the normal 2-complement of  $C(P_{1235})$ , and is an elementary Abelian group.*

*Proof.* Since  $C(P_{1235}) \leq C(P_{123})$ , we deduce from Lemma 2.1 that  $C(P_{1235})$  has a normal 2-complement  $M = C_K(P_{1235})$ , where  $K = P_{123567}$ . Since  $P_{1235}$  is Abelian,  $M \geq P_{1235}$ .

Suppose that  $M = P_{1235}$ . By (22) and (21),

$$C(P_{1235}) \cap C(t_1) = P_{1235} \langle t_1 \rangle.$$

By Lemma 1.1,  $\langle t_1 \rangle$  is a Sylow 2-subgroup of  $C(P_{1235})$ . By the Frattini argument, (22) and (18),

$$N(P_{1235}) = C(P_{1235})(N(P_{1235}) \cap C(t_1))$$

$$= C(P_{1235}) P_{1235} L_4 H_1,$$

so that  $P_{12354} = P_{12345}$  is a Sylow  $p$ -subgroup of  $N(P_{1235})$ . Now the relations (12) show that if  $x$  is any element of  $P_{12345}$  not in  $P_{1235}$ , then  $C_{P_{1235}}(x) = P_1 P_2$ , so that any Abelian subgroup of  $P_{12345}$  not in  $P_{1235}$  has order at most  $q^3$ . Thus  $P_{1235}$  is characteristic in  $P_{12345}$ , being the only Abelian subgroup of order  $q^4$ . It follows that  $N(P_{12345}) \leq N(P_{1235})$ , so that  $P_{12345}$  is a Sylow  $p$ -subgroup of its normalizer in  $G$ . This implies that  $P_{12345}$  is a Sylow  $p$ -subgroup of  $G$ , contradicting Lemma 2.1.

Thus  $M > P_{1235}$ , so that  $M$  contains some nontrivial element of  $P_{67}$ ,

say  $x_6(\alpha) x_7(\beta)$ , where  $\alpha$  or  $\beta$  is nonzero. Since  $H$  normalizes  $P_{1235}$ ,  $H$  also normalizes  $M$ . From Table I, we obtain the relations

$$[x_5(\alpha), h_1(\lambda)] = 1, \quad [x_5(\beta), h_3(\lambda)] = x_5((\lambda - 1)\beta). \quad (25)$$

Transforming by  $s$  and  $su$ , we see that

$$[x_6(\alpha), h_2(\lambda)] = 1, \quad [x_7(\beta), h_2(\lambda)] = x_7((\lambda - 1)\beta),$$

so that  $M$  contains

$$[x_6(\alpha) x_7(\beta), h_2(\lambda)] = x_7((\lambda - 1)\beta),$$

for all nonzero  $\lambda$  in  $F_q$ . If  $\beta \neq 0$ , it follows that  $P_7 \leq M$ . If  $\beta = 0$ , then transforming the second relation (25) by  $s$  and replacing  $\beta$  by  $\alpha$ , we see that  $M$  contains

$$[x_6(\alpha), h_3(\lambda)] = x_6((\lambda - 1)\alpha)$$

for all non-zero  $\lambda$  in  $F_q$ , so that  $P_6 \leq M$ . Since  $u$  normalizes  $P_{1235}$ ,  $u$  normalizes  $M$ . Since transformation by  $u$  interchanges  $P_6$  and  $P_7$ ,  $M$  contains both  $P_6$  and  $P_7$  in any case, so that we have  $M = P_{123567}$ , and

$$P_{1235} \leq Z(P_{123567}).$$

Since  $u$  normalizes  $P_5$ , we see by (10) that

$$P_7^s = P_5^{sus} = P_5^{usu} = P_5^{su} = P_7,$$

$$[P_6, P_7] = [P_5, P_7]^s = 1.$$

Since  $P_6$  and  $P_7$  are Abelian, it follows that  $P_{123567}$  is Abelian. Being the direct product of  $P_1, P_2, P_3, P_5, P_6, P_7$ , it is elementary Abelian. This proves the lemma.

We shall use the following nonsimplicity criterion.

**LEMMA 2.3.** *Let  $X$  be a finite group with a Sylow 2-subgroup  $T$  isomorphic with the Sylow 2-subgroup of  $Sp_4(q)$ , for some odd  $q$ . If  $j$  is an involution in  $Z(T)$ , then*

$$X = C_X(j) O(X).$$

*Proof.* The group  $T$  is the wreath product of a generalized quaternion group with a group of order 2, i.e.

$$T = (Q_1 \times Q_2) \langle z \rangle,$$

where  $Q_1$  and  $Q_2$  are generalized quaternion groups of order  $2^{n+1}$ ,  $z^2 = 1$ , and  $Q_1^z = Q_2$ . Let  $j_1, j_2$  be the involutions in  $Q_1, Q_2$ , respectively. Then  $Z(T) = \langle j \rangle$ , where  $j = j_1, j_2$ .

If  $x$  is an involution of  $Q_1Q_2$  distinct from  $j$ , then  $x$  is  $j_1$  or  $j_2$ , and  $C_T(x) = Q_1Q_2$ . The number of square roots of  $j$  in  $Q_1Q_2$  is  $(2^n + 2)^2$ , while the number of square roots of each of  $j_1$  and  $j_2$  in  $Q_1Q_2$  is only  $2(2^n + 2)$ . Hence  $\langle j \rangle$  is characteristic in  $C_T(x)$ .

If  $x$  is an involution of  $T$  not in  $Q_1Q_2$ , then  $Q_1^x = Q_2$ , so that

$$C_T(x) = \langle x \rangle \times Q, \quad Q = \{yy^x \mid y \in Q_1\}.$$

The group  $Q$  is of generalized quaternion type, and contains the involution  $j_1j_1^x = j_1j_2 = j$ . Since  $j$  is the only involution of  $C_T(x)$  which is a square, we see that again  $\langle j \rangle$  is characteristic in  $C_T(x)$ .

Suppose that  $j$  is conjugate in  $X$  to some involution  $x$  of  $T$  distinct from  $j$ . Since a Sylow 2-subgroup of  $C_X(x) \cap C_X(j)$  is conjugate in  $C_X(j)$  to a subgroup of  $T$ , we can assume, after replacing  $x$  by a suitable conjugate, that  $C_T(x)$  is a Sylow 2-subgroup of  $C_X(x) \cap C_X(j)$ . Since  $\langle j \rangle$  is characteristic in  $C_T(x)$ , it follows as in Lemma 1.1 that  $C_T(x)$  is a Sylow 2-subgroup of  $C_X(x)$ , and hence that  $C_T(x) = T$ , since  $x$  is conjugate in  $X$  to  $j$ . This is a contradiction, since  $Z(T) = \langle j \rangle$ . Hence  $j$  is conjugate in  $X$  to no other involution of  $T$ . The lemma now follows from Glauberman's theorem ([6], Th. 1).

LEMMA 2.4. *Let  $V = O(C(P_1))$ . Then*

$$C(P_1) = JV, \quad N(P_1) = JVH_1.$$

*The group  $V/P_1$  is Abelian. The order of  $V$  is  $q^5$ , and*

$$V = P_{16789},$$

*where  $P_8 = P_4^s$ ,  $P_9 = P_4^{su}$ .*

*Proof.* By (22),

$$C(P_1) \cap C(t_1) = JP_1, \quad N(P_1) \cap C(t_1) = JP_1H_1.$$

A Sylow 2-subgroup  $T$  of  $J$  is also a Sylow 2-subgroup of  $C(P_1) \cap C(t_1)$ , and has  $\langle t_1 \rangle$  as its center. By Lemma 1.1,  $T$  is a Sylow 2-subgroup of  $C(P_1)$ . By Lemma 2.3, if  $V = O(C(P_1))$ ,

$$C(P_1) = JP_1V = JV,$$

since obviously  $P_1 \leq V$ . Also, the Frattini argument and the fact that  $\langle t_1 \rangle$  is characteristic in  $T$  show that

$$N(P_1) = C(P_1)(N(P_1) \cap C(t_1)) = JVH_1.$$

Since  $C_V(t_1) = P_1$ ,  $t_1$  acts without fixed points on  $V/P_1$ . Thus  $t_1$  inverts  $V/P_1$ , and  $V/P_1$  is Abelian.

By (19),  $C(P_2) \cap C(t_1) = L_1 L_3 P_{254}$ . Transforming by  $s$ , we find that

$$C(P_1) \cap C(t_2) = L_2 L_3 P_{168},$$

where  $P_8 = P_4^s$ . Since  $t_2$  inverts both  $P_5$  and  $P_4$ ,  $t_1 = t_2^s$  inverts both  $P_6$  and  $P_8$ . Since  $t_1$  centralizes  $C(P_1)$  modulo  $V$ , it follows that  $P_{168} \leq V$ . Since  $L_2 L_3 \leq J$ , we see that

$$C_V(t_2) = P_{168},$$

a group of order  $q^3$ . Since  $u \in C(P_1)$  and  $u$  transforms  $t_2$  into  $t_3$ , we have

$$C_V(t_3) = P_{168}^u = P_{179},$$

where  $P_9 = P_8^u = P_4^{su}$ . By Brauer's formula,  $|V| = q^5$  and

$$V = P_1 P_{168} P_{179} = P_{16789},$$

since  $V/P_1$  is Abelian, so that  $P_6, P_7, P_8, P_9$  are permutable among themselves, modulo  $P_1$ . This proves the lemma.

We set

$$x_8(\alpha) = x_4(\alpha)^s, \quad x_9(\alpha) = x_4(\alpha)^{su}, \quad (\alpha \in F_q), \quad (26)$$

so that the mappings  $\alpha \rightarrow x_8(\alpha)$ ,  $\alpha \rightarrow x_9(\alpha)$  are isomorphisms of the additive group of  $F_q$  with  $P_8$  and  $P_9$ . Transforming the relevant entries of Table I by  $s$  and  $su$ , we find the action of  $H$  on  $P_6, P_7, P_8, P_9$ . This is given in Table II.

TABLE II

	$x_6(\alpha)$	$x_7(\alpha)$	$x_8(\alpha)$	$x_9(\alpha)$
$h_1(\lambda)$	$x_6(\lambda\alpha)$	$x_7(\lambda\alpha)$	$x_8(\lambda\alpha)$	$x_9(\lambda\alpha)$
$h_2(\lambda)$	$x_6(\alpha)$	$x_7(\lambda\alpha)$	$x_8(\alpha)$	$x_9(\lambda^{-1}\alpha)$
$h_3(\lambda)$	$x_6(\lambda\alpha)$	$x_7(\alpha)$	$x_8(\lambda^{-1}\alpha)$	$x_9(\alpha)$

Also, transformation of the relations  $[L_1, P_5] = [L_1, P_4] = 1$  by  $s$  and  $su$  yields the relations

$$[L_2, P_6] = [L_3, P_7] = [L_2, P_8] = [L_3, P_9] = 1. \quad (27)$$

Since  $u^2 = t_1$  inverts  $P_6, P_7, P_8, P_9$ , we have

$$\begin{aligned} u : x_6(\alpha) &\rightarrow x_7(\alpha), & x_7(\alpha) &\rightarrow x_6(-\alpha), \\ x_8(\alpha) &\rightarrow x_9(\alpha), & x_9(\alpha) &\rightarrow x_8(-\alpha). \end{aligned} \quad (28)$$

Transformation of some of the relations (17) by  $s$  and  $su$  gives the relations

$$c_3 : x_6(\alpha) \rightarrow x_8(\alpha), \quad x_8(\alpha) \rightarrow x_6(-\alpha), \quad (29)$$

$$c_2 : x_7(\alpha) \rightarrow x_9(\alpha), \quad x_9(\alpha) \rightarrow x_7(-\alpha). \quad (30)$$

We can now determine the structure of  $V$ .

LEMMA 2.5. *For  $\alpha, \beta$  in  $F_q$ , the following relations hold:*

$$[x_6(\alpha), x_8(\beta)] = [x_7(\alpha), x_9(\beta)] = x_1(2\alpha\beta),$$

$$[x_i(\alpha), x_j(\beta)] = 1, \quad \text{if } \{i, j\} \subseteq \{1, 6, 7, 8, 9\}, \{i, j\} \neq \{6, 8\} \text{ or } \{7, 9\}.$$

*Proof.* Transformation of the relation  $[x_5(\alpha), x_4(\beta)] = x_2(2\alpha\beta)$  given in (12) by  $s$  and  $su$  yields the first pair of relations. Since  $V \leq C(P_1)$ , obviously  $[P_1, P_j] = 1$  for  $j = 6, 7, 8, 9$ . By the relations (27), (29), (30),

$$P_6^{c_2} = P_6, P_7^{c_2} = P_9, P_6^{c_3} = P_8, P_7^{c_3} = P_7, P_6^{c_2c_3} = P_8, P_7^{c_2c_3} = P_9. \quad (31)$$

By Lemma 2.2,  $[P_6, P_7] = 1$ . Transforming by  $c_2, c_3, c_2c_3$ , we find that  $[P_6, P_9] = [P_7, P_8] = [P_8, P_9] = 1$ . This proves the lemma.

LEMMA 2.6. *Let  $U = P_{123456789}$ . Then  $U$  is a subgroup of  $G$  of order  $q^9$ , normalized by  $H$ , and the structure of the subgroup  $UH$  is determined by the relations*

$$[x_2(\alpha), x_8(\beta)] = 1, \quad [x_2(\alpha), x_9(\beta)] = x_1(\alpha\beta^2) x_7(\alpha\beta),$$

$$[x_3(\alpha), x_8(\beta)] = x_1(\alpha\beta^2) x_6(\alpha\beta), \quad [x_3(\alpha), x_9(\beta)] = 1,$$

$$[x_4(\alpha), x_6(\beta)] = x_7(-\alpha\beta), \quad [x_4(\alpha), x_7(\beta)] = 1,$$

$$[x_4(\alpha), x_8(\beta)] = 1, \quad [x_4(\alpha), x_9(\beta)] = x_8(\alpha\beta),$$

$$[x_5(\alpha), x_8(\beta)] = x_7(\alpha\beta), \quad [x_5(\alpha), x_9(\beta)] = x_6(\alpha\beta),$$

*the relations (12) and (13), the relations of Tables I and II and Lemma 2.5, Lemma 2.2, and the known structures of the subgroups  $P_i$  ( $1 \leq i \leq 9$ ) and  $H$ .*

*Proof.* Since  $J$  has Sylow  $p$ -subgroup  $P_{2345}$  of order  $q^4$ , Lemma 2.4 shows that

$$P_{2345}V = P_{123456789} = U$$

is a Sylow  $p$ -subgroup of  $C(P_1)$ , of order  $q^9$ . We have seen that  $H$  normalizes each  $P_i$ , so that  $H$  normalizes  $U$ , and we have found the action of  $H$  on each  $P_i$  in Tables I and II. The structures of  $P_{2345}$  and  $V$  are given by the relations (12) and Lemma 2.5. It remains to determine the action of  $P_{2345}$  on  $V$ . We know that  $P_{2345}$  centralizes  $P_1$ , and that  $P_2, P_3$  and  $P_5$  centralize  $P_6$  and  $P_7$ , by Lemma 2.2.

The first and fourth of the asserted relations follow immediately from (27).

The second and third relations are obtained by transforming by  $su$  and  $s$  the relation  $[x_3(\alpha), x_4(\beta)] = x_2(\alpha\beta^2) x_5(\alpha\beta)$  given in (12).

For each element  $x$  of  $V$ , write  $\bar{x}$  for the corresponding element of  $V/P_1$ . We shall write the Abelian group  $V/P_1$  additively and make it into a 4-dimensional vector space over  $F_q$  by defining scalar multiplication in the obvious way:

$$\lambda(\overline{x_6(\alpha)} + \overline{x_7(\beta)} + \overline{x_8(\gamma)} + \overline{x_9(\mu)}) = \overline{x_6(\lambda\alpha)} + \overline{x_7(\lambda\beta)} + \overline{x_8(\lambda\gamma)} + \overline{x_9(\lambda\mu)},$$

where  $\lambda, \alpha, \beta, \gamma, \mu \in F_q$ . By Table II,  $h_1(\lambda)$  acts on  $V/P_1$  as multiplication by the scalar  $\lambda$ . Since  $J$  normalizes  $V$  and  $P_1$ , and centralizes  $H_1$ ,  $J$  acts on  $V/P_1$  by linear transformations. Since  $t_1$  inverts  $V/P_1$ , this action is faithful.

If  $x, y \in V$ , then since  $V/P_1$  is Abelian and  $P_1 \leq Z(V)$ ,  $[x, y]$  lies in  $P_1$  and depends only on  $\bar{x}$  and  $\bar{y}$ . Thus we have

$$[x, y] = x_1(-2f(\bar{x}, \bar{y})),$$

where  $f$  is a mapping of  $(V/P_1) \times (V/P_1)$  into  $F_q$ . Lemma 2.5 shows that  $f$  is a nondegenerate alternating bilinear form, so that  $V/P_1$  becomes a symplectic vector space over  $F_q$ , and that

$$\mathcal{B} = \{\overline{x_7(1)}, \overline{x_9(-1)}, \overline{x_6(1)}, \overline{x_8(-1)}\}$$

is a symplectic basis. We shall represent linear transformations on  $V/P_1$  by their matrices with respect to this basis. Since  $J$  centralizes  $P_1$ , the linear transformations of  $V/P_1$  induced by  $J$  are symplectic, and we have an isomorphism of  $J$  with the symplectic group of  $V/P_1$ . We wish to prove that this isomorphism is given by

$$x \rightarrow x, \quad (32)$$

where the  $x$  on the left is an element of  $J$  and the  $x$  on the right is the matrix of the corresponding linear transformation on  $V/P_1$ .

From Table II, (30), (27), the relations we have already proved, Lemma 2.2, and (28), we see that (32) is valid when  $x$  is  $h_2(\lambda)$ ,  $c_2$ ,  $x_2(\alpha)$  or  $u$ , i.e. when  $x$  is any one of the matrices

$$\begin{pmatrix} \lambda & 0 & 0 & 0 \\ 0 & \lambda^{-1} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 0 \\ \alpha & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}. \quad (33)$$

It follows that the isomorphism of  $J$  with the symplectic group of  $V/P_1$  has the form

$$x \rightarrow \theta(x),$$

where  $\theta$  is an automorphism of  $Sp_4(q)$  leaving each of the matrices (33) fixed. Every automorphism of  $Sp_4(q)$  is induced by a semi-similitude, i.e. a field automorphism followed by a certain type of linear transformation ([5], p. 97). If  $\sigma$  is the field automorphism associated with  $\theta$ , then  $\sigma$  leaves invariant all sets  $\{\lambda, \lambda^{-1}\}$ ,  $0 \neq \lambda \in F_q$ , since  $\theta$  preserves the first type of matrix in (33) and transformation by a linear transformation does not change eigenvalues. Thus  $\sigma^2 = 1$ . If  $\sigma \neq 1$ , then  $q$  is a square,  $q = r^2$ , and  $\sigma$  is the automorphism  $\alpha \rightarrow \alpha^r$ . But if  $\lambda$  is a primitive root in  $F_q$ , then  $\lambda^r$  is not  $\lambda$  or  $\lambda^{-1}$ , so that  $\sigma$  does not preserve  $\{\lambda, \lambda^{-1}\}$ . Hence  $\sigma = 1$  and  $\theta$  is the automorphism induced by some linear transformation  $\tau$ .

Since  $\theta$  preserves the first type of matrix in (33), the matrix of  $\tau$  with respect to  $\mathcal{B}$  has the form  $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$ , where  $A$  and  $B$  are  $2 \times 2$  matrices. Since  $\theta$  preserves the second and third type of matrix in (33),  $A$  is a scalar multiple of  $I$ . Finally, since  $\theta$  preserves the last of matrices (33),  $A = B$ . Hence  $\tau$  is a scalar multiple of the identity, so that  $\theta = 1$  and the isomorphism of  $J$  with the symplectic group of  $V/P_1$  is indeed given by (32).

In particular, we see that  $x_4(\alpha)$  induces the linear transformation on  $V/P_1$  whose matrix with respect to  $\mathcal{B}$  is

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -\alpha \\ \alpha & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

This means that, modulo  $P_1$ , we have

$$\begin{aligned} x_4(\alpha) : x_7(\beta) &\rightarrow x_7(\beta), & x_9(\beta) &\rightarrow x_8(-\alpha\beta) x_9(\beta), \\ x_6(\beta) &\rightarrow x_6(\beta) x_7(\alpha\beta), & x_8(\beta) &\rightarrow x_8(\beta). \end{aligned} \quad (34)$$

Now, by Table II,  $t_1 = h_1(-1)$  inverts  $P_{67}$ . Since  $t_1$  centralizes  $P_{1235}$ , and  $P_{123567}$  is Abelian by Lemma 2.2,

$$[t_1, P_{123567}] = P_{67}.$$

Since  $L_4$  normalizes  $P_{123567}$  by (18) and Lemma 2.2, and centralizes  $t_1$ ,  $L_4$  normalizes  $P_{67}$ . Since  $c_2c_3$  normalizes  $L_4$  and transforms  $P_{67}$  into  $P_{89}$  by (31),  $L_4$  also normalizes  $P_{89}$ . Since  $x_4(\alpha) \in L_4$ , this implies that the relations (34) are valid exactly, not just modulo  $P_1$ . Thus we have the asserted relations involving  $x_4(\alpha)$ . Finally, transformation of two of these relations by  $c_3$  and replacement of  $\alpha$  by  $-\alpha$  give the last two relations, by use of (17), (29), and (27). This completes the proof of the lemma.

We remark that since  $P_{2345}$  acts faithfully on  $V/P_1$  and since we easily see from Lemma 2.5 that  $Z(V) = P_1$ , it follows that

$$Z(U) = P_1,$$

so that  $N(U) \leq N(P_1)$ . From Lemma 2.4 we find that

$$N(U) = N_J(P_{2345}) V H_1 = UH.$$

Since  $U$  is a Sylow  $p$ -subgroup of  $UH$ , this implies that  $U$  is a Sylow  $p$ -subgroup of  $G$ . However, we shall not need to use this fact.

Since  $|U| = q^9$ , we see that every element  $x$  of  $U$  has a unique expression in the form

$$x = \prod_{i=1}^9 x_i(\alpha_i),$$

where each  $\alpha_i$  is an element of  $F_q$ , and the product is taken in order of increasing  $i$ . We shall call this the *standard form* of  $x$ , and refer to the ordering of the product as the *standard order*.

### 3. THE $(BN)$ -PAIR

Using the relations (9), (16), (17), (27), (29), the definitions (24), (26), the fact that  $s^2 = t_3$  inverts  $P_4$  and  $P_5$ , and  $u^2 = t_1$  inverts  $P_6$  and  $P_8$ , and the computation

$$x_7(\alpha)^s = x_5(\alpha)^{sus} = x_5(\alpha)^{usu} = x_5(-\alpha)^{su} = x_7(-\alpha),$$

we can determine the action of  $s, u, c_3$  on all the  $P_i$  except  $P_9, P_4, P_3$  respectively. The result is given in Table III.

TABLE III

	$x_1(\alpha)$	$x_2(\alpha)$	$x_3(\alpha)$	$x_4(\alpha)$	$x_5(\alpha)$	$x_6(\alpha)$	$x_7(\alpha)$	$x_8(\alpha)$	$x_9(\alpha)$
	$x_2(\alpha)$	$x_1(\alpha)$	$x_3(\alpha)$	$x_8(\alpha)$	$x_6(\alpha)$	$x_5(-\alpha)$	$x_7(-\alpha)$	$x_4(-\alpha)$	
$u$	$x_1(\alpha)$	$x_3(\alpha)$	$x_2(\alpha)$		$x_5(-\alpha)$	$x_7(\alpha)$	$x_6(-\alpha)$	$x_9(\alpha)$	$x_8(-\alpha)$
$c_3$	$x_1(\alpha)$	$x_2(\alpha)$		$x_5(-\alpha)$	$x_4(\alpha)$	$x_8(\alpha)$	$x_7(\alpha)$	$x_6(-\alpha)$	$x_9(\alpha)$

We now set

$$N = \langle H, s, u, c_3 \rangle.$$

This subgroup normalizes  $H$  and contains  $c_2 = c_3^u$  and  $c_1 = c_2^s$ . Also, we set

$$B = UH.$$

By Lemma 2.6, the structure of  $B$  is uniquely determined. We shall show that the structure of  $N$  is also uniquely determined.



LEMMA 3.1. *The structure of  $N = \langle H, s, u, c_3 \rangle = \langle H, s, u, c_1, c_2, c_3 \rangle$  is determined by the structure of  $H$  together with the relations*

$$\left. \begin{aligned} s : h_1(\lambda) &\leftrightarrow h_2(\lambda), & h_3(\lambda) &\rightarrow h_3(\lambda), \\ u : h_1(\lambda) &\rightarrow h_1(\lambda), & h_2(\lambda) &\leftrightarrow h_3(\lambda), \\ c_3 : h_1(\lambda) &\rightarrow h_1(\lambda), & h_2(\lambda) &\rightarrow h_2(\lambda), & h_3(\lambda) &\rightarrow h_3(\lambda^{-1}), \end{aligned} \right\} \quad (35)$$

$$\left. \begin{aligned} c_i^2 &= t_i \quad (i = 1, 2, 3), & [c_1, c_2] &= [c_1, c_3] = [c_2, c_3] = 1, \\ s^2 &= t_3, & u^2 &= t_1, & (su)^3 &= 1, \\ s : c_1 &\leftrightarrow c_2, & c_3 &\rightarrow c_3, & u : c_1 &\rightarrow c_1, & c_2 &\leftrightarrow c_3. \end{aligned} \right\} \quad (36)$$

*The quotient group  $N/H$  has order 48 and is isomorphic with the wreath product of a group of order 2 with the symmetric group of degree 3. Also,*

$$B \cap N = H.$$

*Proof.* The relations (35), (36) hold, by the structure of  $C(t_1)$  and the properties of  $s$  (Lemma 1.3 and (9)).

Taken modulo  $H$ , the relations (36) clearly become defining relations for the wreath product of a group of order 2 with the symmetric group of degree 3. Since this wreath product has order 48,  $|N/H| \leq 48$ . From the structure of  $C(t_1)$ ,  $|\langle H, c_1, c_2, c_3 \rangle/H| = 8$ , and  $\langle H, c_1, c_2, c_3 \rangle$  centralizes  $D = \langle t_1, t_2 \rangle$ . Since  $s$  and  $u$  induce automorphisms of  $D$  which generate the full automorphism group of order 6, we see that  $|N/H| \geq 48$ . Hence  $|N/H| = 48$ , and we have a complete set of defining relations for  $N$ . Also,

$$C_N(D) = \langle H, c_1, c_2, c_3 \rangle,$$

so that

$$|C_N(D)| = 8 |H| = 4(q-1)^3,$$

which is prime to  $p$ .

Since  $H$  normalizes  $U$  and  $N$  normalizes  $H$ ,

$$[U \cap N, H] \leq [U, H] \cap [N, H] \leq U \cap H = 1,$$

so that  $U \cap N \leq C_N(H) \leq C_N(D)$ . Since  $U \cap N$  is a  $p$ -group, it follows that  $U \cap N = 1$ . Since  $B = UH$  and  $B \cap N \geq H$ , we must have  $B \cap N = H$ . This proves the lemma.

We remark that the relations (36) can be replaced by the shorter set

$$s^2 = t_3, \quad u^2 = t_1, \quad c_3^2 = t_3, \quad (su)^3 = (sc_3)^2 = 1, \quad (uc_3)^4 = t_1,$$

which show that  $N/H$  is the group denoted [3, 4] in ([3], p. 37). An alternative description of  $N/H$  is that it is isomorphic with the direct product of a group of order 2 with the symmetric group of degree 4.

We set

$$W = N/H,$$

$$r_1 = sH, \quad r_2 = uH, \quad r_3 = c_3H.$$

Then  $r_1, r_2, r_3$  are involutions generating  $W$ . For each element  $w$  of  $W$  we fix a coset representative  $\omega(w)$  in  $N$ , so that

$$w = \omega(w)H.$$

If  $K$  is any subgroup containing  $H$ , then  $\omega(w)K$  and  $K\omega(w)$  are independent of the choice of the coset representative, and may be written  $wK$  and  $Kw$ . Similarly, if  $K$  is any subgroup normalized by  $H$ ,  $K^{\omega(w)}$  may be written  $K^w$ .

A definite choice of the  $\omega(w)$  can be made as follows. Choose

$$\omega(1) = 1, \quad \omega(r_1) = s, \quad \omega(r_2) = u, \quad \omega(r_3) = c_3.$$

For any other element  $w$  of  $W$ , take all the representations of  $w$  in the form

$$w = r_{i_1} r_{i_2} \cdots r_{i_k}$$

for which the length  $k$  is minimal, and choose that one for which  $(i_1, i_2, \dots, i_k)$  is first in lexicographical order. Then set

$$\omega(w) = \omega(r_{i_1}) \omega(r_{i_2}) \cdots \omega(r_{i_k}).$$

The group  $W$  has center of order 2, generated by the coset

$$w_0 = (r_1 r_2 r_3)^3$$

of  $c_1 c_2 c_3$ .

LEMMA 3.2.  $U \cap U^{w_0} = 1$ .

*Proof.* Set

$$K = U \cap U^{w_0} = U \cap U^{c_1 c_2 c_3}.$$

Since  $D = \langle t_1, t_2 \rangle$  normalizes  $U$  and  $c_1 c_2 c_3$  centralizes  $D$ ,  $D$  normalizes  $K$  and we have

$$C_K(t_1) = C_U(t_1) \cap C_U(t_1)^{c_1 c_2 c_3}$$

$$= P_{12345} \cap (P_{12345})^{c_1 c_2 c_3}.$$

Applying (14) and (15), we see that

$$C_K(t_1) = 1.$$

By Table III,  $(P_{12345})^s$  and  $(P_{12345})^{su}$  are contained in  $U$ . Since  $(P_{12345})^s \leq C(t_1)^s = C(t_2)$  and  $(P_{12345})^{su} \leq C(t_1)^{su} = C(t_3)$ , and the Sylow  $p$ -subgroups of  $C(t_2)$  and  $C(t_3)$  have order  $q^5$ , we see that

$$C_U(t_2) = C_U(t_1)^s, \quad C_U(t_3) = C_U(t_1)^{su}.$$

Since  $s$  and  $su$  centralize  $c_1 c_2 c_3$ ,

$$C_K(t_2) = C_U(t_2) \cap C_U(t_2)^{c_1 c_2 c_3} = (C_U(t_1) \cap C_U(t_1)^{c_1 c_2 c_3})^s = 1,$$

$$C_K(t_3) = C_U(t_3) \cap C_U(t_3)^{c_1 c_2 c_3} = (C_U(t_1) \cap C_U(t_1)^{c_1 c_2 c_3})^{su} = 1.$$

Thus,  $t_1$ ,  $t_2$  and  $t_3 = t_1 t_2$  all invert  $K$ . Since  $K$  has odd order, this implies that  $K = 1$ . This proves the lemma.

We set

$$P_{-i} = P_i^{w_0}, \quad 1 \leq i \leq 9,$$

$$V = U^{w_0} = \prod_{i=1}^9 P_{-i}.$$

Since  $H$  normalizes  $P_i$  and  $w_0$  normalizes  $H$ ,  $H$  normalizes each  $P_{-i}$  and hence normalizes  $V$ . Also, since  $w_0$  is an involution, we have

$$P_{-i}^{w_0} = P_i \quad (1 \leq i \leq 9), \quad V^{w_0} = U.$$

LEMMA 3.3. *For each  $i = \pm 1, \pm 2, \dots, \pm 9$ , and each element  $w$  of  $W$*

$$P_i^w = P_j,$$

*for some  $j = \pm 1, \pm 2, \dots, \pm 9$ . In particular,*

$$P_9^{r_1} = P_{-9}, \quad P_4^{r_2} = P_{-4}, \quad P_3^{r_3} = P_{-3}.$$

*Proof.* It is enough to prove the first assertion when  $w$  is  $r_1$ ,  $r_2$  or  $r_3$ . Since  $w_0$  lies in the center of  $W$  and transforms  $P_i$  into  $P_{-i}$  for all  $i$ , we may assume that  $i > 0$ . By Table III, we need only consider  $P_9^{r_1}$ ,  $P_4^{r_2}$  and  $P_3^{r_3}$ .

Since  $w_0 \in Z(W)$ , we have

$$w_0 = (r_3 r_2 r_1)^3 = (r_3 r_1 r_2)^3 = (r_1 r_2 r_3)^3.$$

Using these three expressions for  $w_0$  in turn, and applying Table III, we easily compute that

$$P_{-9} = P_9^{w_0} = P_9^{r_1}, \quad P_{-4} = P_4^{w_0} = P_4^{r_2}, \quad P_{-3} = P_3^{w_0} = P_3^{r_3},$$

so that the lemma is proved.

If  $P_i^w = P_j$ , we write  $i = w(j)$ . For each  $w$  in  $W$  we have uniquely determined sets of integers

$$\begin{aligned} E'_w &= \{i \mid 1 \leq i \leq 9, w(i) > 0\}, \\ E_w &= \{i \mid 1 \leq i \leq 9, w(i) < 0\}. \end{aligned}$$

Also, we set

$$U'_w = U \cap U^w, \quad U_w = U \cap V^w.$$

In particular, writing  $U_i = U_{r_i}$  ( $i = 1, 2, 3$ ), we have

$$U_1 = P_9, \quad U_2 = P_4, \quad U_3 = P_3, \quad (37)$$

by Table III and Lemma 3.3.

LEMMA 3.4. *If  $w \in W$ , then*

$$U'_w = \Pi\{P_i \mid i \in E'_w\}, \quad U_w = \Pi\{P_i \mid i \in E_w\},$$

where the products are taken in standard order, and

$$U = U'_w U_w, \quad U'_w \cap U_w = 1.$$

*Proof.* By Lemma 3.2,  $U'_w \cap U_w = 1$ , so that  $|U'_w U_w| = |U'_w| |U_w|$ . Clearly

$$\begin{aligned} U'_w &\supseteq \Pi\{P_i \mid i \in E'_w\}, \\ U_w &\supseteq \Pi\{P_i \mid i \in E_w\}. \end{aligned}$$

If  $|E'_w| = n'(w)$  and  $|E_w| = n(w)$ , then the sets on the right contain  $q^{n'(w)}$  and  $q^{n(w)}$  elements respectively, by the uniqueness of the standard form of an element of  $U$ . If either inclusion were proper,  $|U'_w U_w|$  would exceed  $q^{n'(w)+n(w)} = q^9$ , which is impossible, since  $U'_w U_w \subseteq U$ . Hence the inclusions are equalities, and  $|U'_w U_w| = q^9$ , so that  $U'_w U_w = U$ .

LEMMA 3.5. *If  $i = 1, 2, 3$ , then*

$$U_i^{r_i} \subseteq B \cup Br_i U_i.$$

*Proof.* First consider the case  $i = 2$ . Then,  $U_2^{r_2} = P_4^u$  is contained in the subgroup  $L_4$  of  $J$ , which is isomorphic with  $GL_2(q)$ . This group has the Bruhat decomposition

$$L_4 = P_4 H_2 H_3 \cup P_4 H_2 H_3 u P_4$$

(cf. [2], p. 34). Since  $P_4H_2H_3 \subseteq UH = B$ , we have the desired result for  $i = 2$ .

Since  $u^{su} = (su)^{-1}usu = (su)^{-1}sus = s = \omega(r_1)$ , by (10), and  $P_4^{su} = P_9 = U_1$ , we see that

$$U_1^{r_1} \subseteq L_4^{su} = P_9H_1H_2 \cup P_9H_1H_2P_9,$$

so that we have the result for  $i = 1$ .

Finally, for  $i = 3$ , by the Bruhat decomposition for  $L_3 \approx SL_2(q)$ ,

$$U_3^{r_3} = P_3^{c_3} \subseteq L_3 = P_3H_3 \cup P_3H_3c_3P_3,$$

so that we have the result for  $i = 3$ .

LEMMA 3.6. *If  $w \in W$ ,  $i = 1, 2, 3$ , then*

$$r_iBw \subseteq BwB \cup Br_iwB.$$

*Proof.* By Lemma 3.4 and the definition of  $U'_{r_i}$ , we have

$$r_iBw = r_iHUw = Hr_iU'_{r_i}U_iw \subseteq HU_{r_i}U_iw = Br_iU_iw.$$

By (37),  $U_i = P_j$ , where  $j = 9, 4, 3$  according as  $i = 1, 2, 3$ . If  $U_i^w \leq U$ , then

$$Br_iU_iw = Br_iwU_i^w \subseteq Br_iwU \subseteq Br_iwB.$$

Thus we may assume that  $U_i^w \not\leq U$ .

By Lemma 3.3,  $U_i^w \leq V$ , and  $U_i^{r_i} = P_j^{r_i} = P_{-j} = U_i^{w_0}$ . Hence

$$U_i^{r_iw} = U_i^{w_0w} = U_i^{ww_0} \leq V^{w_0} = U,$$

since  $w_0 \in Z(W)$ . Applying Lemma 3.5, we have

$$Br_iU_iw = BU_i^{r_i}r_iw \subseteq Br_iw \cup Br_iU_i^{r_i}w.$$

Since  $Br_iw \subseteq Br_iwB$  and

$$Br_iU_i^{r_i}w = BwU_i^{r_iw} \subseteq BwU \subseteq BwB,$$

we have the asserted result.

LEMMA 3.7. *Let  $G_0 = BNB$ . Then  $G_0$  is a subgroup of  $G$ , and is the disjoint union of the 48 double cosets  $BwB$ ,  $w \in W$ .*

*Proof.* This follows from Lemma 3.6 by a theorem of Tits ([11], Th. 1).

LEMMA 3.8. *Every element of  $G_0$  has a unique expression in the form  $b\omega(w)x$ , where  $b \in B$ ,  $w \in W$ ,  $x \in U_w$ . The multiplication table of  $G_0$  is uniquely determined.*

*Proof.* The existence and uniqueness of the "normal form" is proved in the usual way ([2], p. 42), by using Lemmas 3.2, 3.4. Because of the unique determination of the structures of  $B$  and  $N$  in Lemmas 2.6, 3.1, and the uniquely determined Table III, the normal form of the product of two elements of  $G_0$  given in normal form is uniquely determined, so that the multiplication table of  $G_0$  is uniquely determined (cf. [8], §8).

LEMMA 3.9.  $G_0 = G$ .

*Proof.* By the Bruhat decomposition of  $SL_2(q)$  previously mentioned,

$$L_1 = \langle P_1, H_1, c_1 \rangle \leq G_0.$$

By a result of Dickson on generators of  $Sp_{2m}(q)$  ([4], p. 92),

$$J = \langle c_2, c_3, P_2, P_3, P_5 \rangle \leq G_0.$$

Hence  $C(t_1) \leq G_0$ . Since  $[t_1, s] = t_3$  has even order,  $G_0 \neq C(t_1)O(G_0)$ . Thus  $G_0$  satisfies the hypotheses (1), (2). By Lemma 1.2,  $G_0$  must have two classes of involutions, which must be

$$K'_1 = K_1 \cap G_0, \quad K'_2 = K_2 \cap G_0.$$

Applying Lemma 1.6, we see that if  $x$  is any involution of  $G_0$  then  $C_{G_0}(x) = C(x)$ . Since  $G$  has two classes of involutions,  $G_0$  must contain all the involutions of  $G$  ([9], Lemma 1). In particular,  $K'_1 = K_1$ , so that

$$|G_0| = |K'_1| |C_{G_0}(t_1)| = |K_1| |C(t_1)| = |G|.$$

This proves the lemma.

LEMMA 3.10.  $G$  is isomorphic with  $PSp_6(q)$ .

*Proof.* By Lemmas 3.8, 3.9, the conditions (1) and (2) uniquely determine the multiplication table of  $G$ . Since  $PSp_6(q)$  satisfies (1) and (2), we have

$$G \approx PSp_6(q).$$

This completes the proof of the theorem.

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